BRIDGE MARKETS

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AML POLICY

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BRIDGE MARKETS

1. INTRODUCTION

BRIDGE MARKETS LTD (hereinafter, the “Company”) is a legal entity duly incorporated under the jurisdiction of the **Marshall Islands**, in accordance with the provisions of the Companies Act, with registration number **113891**. In conducting its operations, the Company strictly adheres to the Recommendations of the Financial Action Task Force (FATF), an intergovernmental body that sets global standards for the prevention of money laundering, terrorist financing, and other threats that compromise the integrity of the international financial system.

BRIDGE MARKETS LTD recognizes the importance of implementing effective regulatory compliance measures and actively participates in promoting responsible practices, aligned with international principles of financial transparency, regulatory oversight, and risk mitigation.

2. Anti-Money Laundering (AML) Policy

As part of its institutional commitment to financial integrity and regulatory compliance, **BRIDG EMARKETS LTD** has adopted a robust **anti-money laundering (AML)** policy aimed at detecting, preventing, and reporting illegal or suspicious activities that could compromise the security of its operations and the trust of its customers.

The measures implemented include, but are not limited to:

- Rigorous verification of each customer's identity and address through **KYC (Know Your Customer)** procedures in accordance with international standards.
- Continuous monitoring of transactions to identify unusual patterns or signs of suspicious activity, with immediate reporting to the competent authorities when appropriate.
- Secure retention of records and documentation for a minimum period of five (5) years from the end of the business relationship, in accordance with applicable legal provisions.
- Continuous training of staff, aimed at identifying suspicious transactions, complying with reporting obligations, and staying up to date with international regulatory frameworks on AML.
- Reporting suspicious activities to the competent authorities, in accordance with the Customer's jurisdiction and current international cooperation treaties.
- Collecting, verifying, and periodically updating (at least annually) the personal identification information of all account holders.

- Monitoring unusual transactions or those executed under non-standard conditions, with special attention to patterns that may indicate operational or legal risk.

- Absolute prohibition of cash deposits or disbursements, under any modality or circumstance.

- Validation of each deposit, ensuring that it matches the name registered in the Customer's account.

- Supervision of funds from foreign bank accounts, especially those located outside the account holder's country of residence.

- Authority to reject transactions when there are reasonable grounds to suspect links to illegal activities, money laundering, or financial crimes.

The Company maintains a zero-tolerance policy toward money laundering and reserves the right to take corrective measures, suspend operations, or close accounts in the event of non-compliance or detection of conduct that contravenes this policy.

In accordance with the international legal framework, **BRIDGE MARKETS LTD** is not obliged to notify the Client when a report of suspicious activity is made to regulatory or judicial authorities.

All funds deposited by Clients are held in segregated and duly identified accounts, intended exclusively for deposit and withdrawal transactions. This structure ensures the separation between the Company's assets and its Clients' funds, in accordance with international best practices for financial custody.

The Company is duly authorized by the Republic of the Marshall Islands to operate as an international financial institution.

3. Account Opening Procedure – KYC (Know Your Customer) Policy

Account Opening Requirements

To open a trading account with **BRIDGE MARKETS LTD**, the applicant must meet the following requirements:

- Be over 18 years of age.
- Not be a resident of the following jurisdictions: **United States, Canada, Sudan, Syria, North Korea, Iran, Myanmar, Yemen, Afghanistan, Panama, Vanuatu, and countries in the European Economic Area (EEA)**. **BRIDGE MARKETS LTD** does not offer financial services in these regions.

Account Opening Process Steps

The Client must complete the following steps:

1. Account opening application form, providing personal information (name, date of birth, etc.) and information about their financial profile (annual income, estimated net worth, employment status, among others).
2. Suitability assessment, using a suitability test to determine the compatibility of the Client's profile with the products offered.
3. Submission of identification documents, including valid government-issued proof of identity and recent proof of address.

The Client has a maximum period of 15 calendar days from receipt of the request to submit all required documentation. In case of non-compliance, **BRIDGE MARKETS LTD** will reject the request, refund the available balance using the same method used for the deposit, and close any open positions in the Client's account using the previous day's closing price.

Identification Documents / Self-certification

a. **Proof of Identity**

The following documents are accepted as valid proof of identity:

- Valid passport
- Valid national identity card

The document must be issued in the Client's name and clearly show all details, including a photograph. Proof of identity must be updated once the document expires.

All identity documents are verified using external compliance software. The Company reserves the right to request certified copies of the aforementioned documents.

b. **Proof of Address**

The following documents are accepted as proof of residence:

- Utility bill (landline telephone, internet, electricity, water, etc.)
- Bank statement showing at least one transaction
- Official documents issued by government entities (e.g., certificate of residence, tax bill with official stamp)

Proof of address must be recent (no more than **90 days old**) and must clearly show the Customer's name, permanent address, and date of issue.

The Company reserves the right to request certified copies of the aforementioned documents and may require additional documentation at any time, as it deems necessary to comply with its regulatory obligations.

4. Fund Withdrawal Procedure

The fund withdrawal procedure at **BRIDGE MARKETS LTD** is governed by strict regulatory compliance principles, with the aim of ensuring that funds are returned exclusively to their source of origin, in accordance with internal **anti-money laundering (AML**) and **counter-terrorist financing (CFT) policies**.

a. Withdrawal Request

The Client must complete and submit a signed withdrawal request, either in physical or digital format, which includes, among other details, the correct information for their registered account.

b. Validation by the Finance Department

The request will be processed by the Finance Department of **BRIDGE MARKETS LTD**, which will verify the following:

- Available balance in the account.
- That the account is duly approved and that the Client's documentation is up to date.
- That there are no blocks, restrictions, or limitations in effect on the account.
- That the funds are withdrawn using the same deposit method and in the name of the registered account holder.
- That the withdrawal request is consistent with the deposit history, ruling out any suspicious activity.
- The Customer must have moved at least **50%** of the deposited capital in trading operations or have purchased a financial product offered by the Company. Otherwise, the withdrawal could be considered a money laundering practice.

c. Withdrawal Approval

If no inconsistencies or signs of suspicious activity are detected, the Finance Department will approve the request and the funds will be released to the Customer.

d. Suspicious Activity

If any irregularities are identified, the request will be forwarded to the **Anti-Money Laundering Compliance Officer (AMLCO)** for evaluation.

e. **Escalation to Management**

If the **AMLCO** determines that there are indications of suspicious activity, the request will be placed on hold and sent to the General Management of **BRIDGE MARKETS LTD** for review.

f. **Resolution and Notification**

Management, in conjunction with the **AMLCO**, will decide whether additional measures are required, including notification to the relevant regulatory authorities. In accordance with the international legal framework, **BRIDGE MARKETS LTD** is not required to inform the Client when a suspicious activity report is made.

AML/CFT Policy Applicable to Withdrawals

BRIDGE MARKETS LTD complies with current legislation on the prevention of money laundering and terrorist financing **(AML/CFT Act),** which involves:

- Obtaining, verifying, and recording all information related to the identity of Clients and the funds deposited.
- Implement an internal prevention program based on risk assessment, in accordance with the objectives of the AML/CFT Act.
- Require that funds be withdrawn only by the same method and holder that made the deposit.
- Reject requests for withdrawal of capital that has not been previously moved in the broker's service portfolios.
- Require that third-party or underlying client accounts be linked to an IB (Introducing Broker) and have passed the corresponding KYC process.
- Inform that between the 1st and 25th of each month, BRIDGEMARKETS LTD is subject to random audits by the regulator. Any irregularities detected could affect the Company's operational and expansion process in the Latin American market.

Periodic Withdrawals for Larger Deposits

Clients who make deposits equal to or greater than **USD $15,000**, either by bank transfer or cryptocurrency, will be eligible for a periodic withdrawal scheme. This scheme allows up to **33.3%** of the current trading account balance to be withdrawn every **30 days**, subject to applicable **AML** policies. These measures seek to ensure the legality, traceability, and security of financial transactions, contributing to the protection of the international financial system.

LEGAL NOTICE ON REGULATORY COMPLIANCE – AML POLICY

The information contained in this section represents an executive summary of the internal guidelines applied by the **BRIDGE MARKETS LTD** Compliance team in relation to **anti-money laundering (AML)**. It does not constitute an exhaustive or binding statement of the Company's operating procedures.

Clients should be aware that any information provided to **BRIDGE MARKETS LTD** may be shared, in accordance with applicable law, with the relevant regulatory authorities in:

- The jurisdiction of incorporation of the Company **(Republic of the Marshall Islands)**
- The country of origin of the funds deposited with **BRIDGE MARKETS LTD**
- The country of destination of funds withdrawn or reimbursed by the Company

BRIDGE MARKETS LTD will not establish business relationships with persons or entities whose funds originate from illegal activities, or when the nature of the transactions is considered illegal under any applicable law. The Company reserves the right to review, update, or modify its **AML Policy** at any time, at its sole discretion, without prior notice.

It is clarified that the **AML Policy** of **BRIDGE MARKETS LTD** is not part of the Terms and Conditions of Business and is not contractual or binding on the parties.

For specific inquiries related to our compliance policies, you can contact the Compliance Department directly through the following channels:

compliance@bridgemarkets.eu

finance@bridgemarkets.eu